# **Digital Methods for Long Term River History:** The Case of the Yellow River in China

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For Presentation at Methods in Sinology

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## The Yellow River

A Natural and Unnatural History

Ruth Mostern; Maps and Infographics with the Assistance of Ryan M. Horne

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### Description Reviews

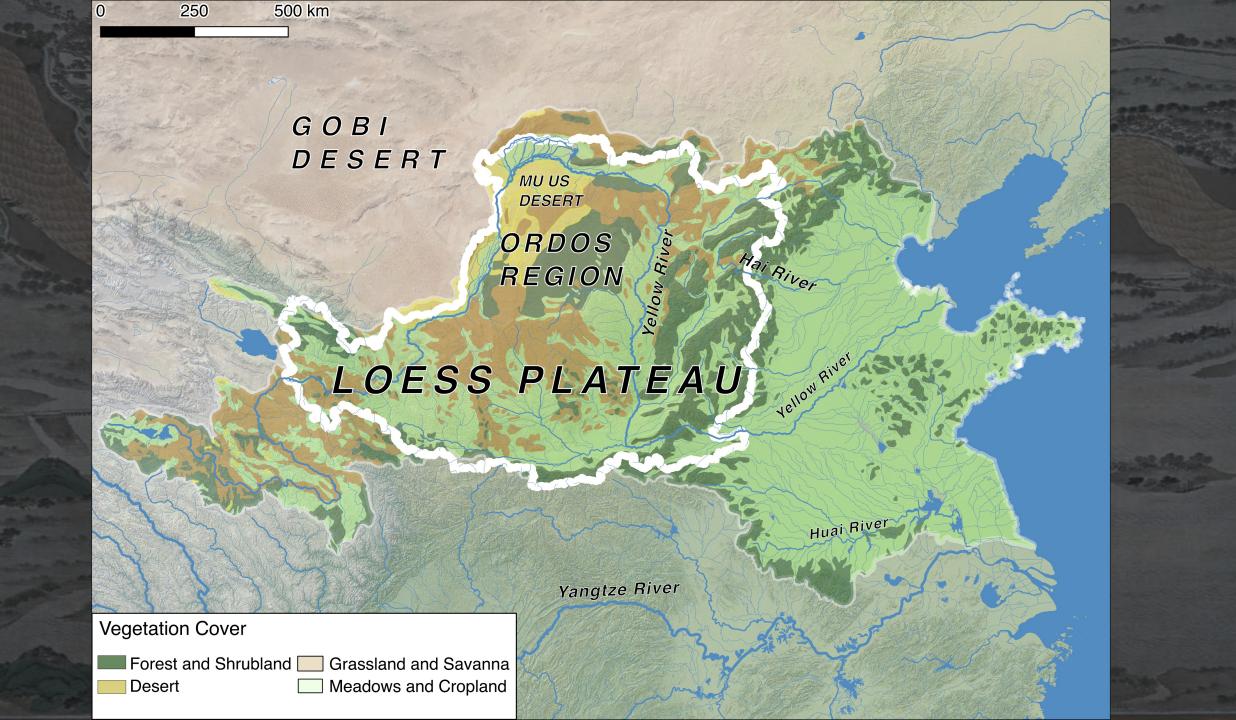
# A three-thousand-year history of the Yellow River and the legacy of interactions between humans and the natural landscape

From Neolithic times to the present day, the Yellow River and its watershed have both shaped and been shaped by human society. Using the Yellow River to illustrate the long-term effects of environmentally significant human activity, Ruth Mostern unravels the long history of the human relationship with water and soil and the consequences, at times disastrous, of ecological transformations that resulted from human decisions.

As Mostern follows the Yellow River through three millennia of history, she underlines how governments consistently ignored the dynamic interrelationships of the river's varied ecosystems —grasslands, riparian forests, wetlands, and deserts—and the ecological and cultural impacts of their policies. With an interdisciplinary approach informed by archival research and GIS (geographical information system) records, this groundbreaking volume provides unique insight into patterns, transformations, and devastating ruptures throughout ecological history and offers profound conclusions about the way we continue to affect the natural systems upon which we depend.

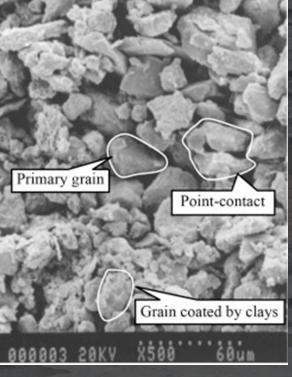
**Ruth Mostern** is associate professor of history at the University of Pittsburgh, where she is also director of the World History Center.

ISBN: 9780300238334 Publication Date: September 28, 2021 376 pages, 7 x 9 43 color + 120 b/w illus.

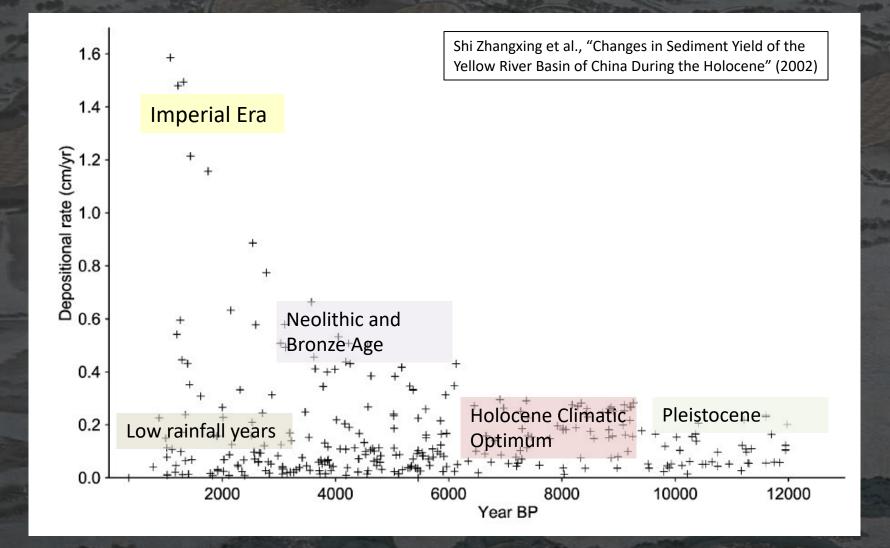








"Loess is very resistant to erosion under vegetation cover but readily erodible without it. ... [U]nder forest or grass cover, slope and rainfall intensity have relatively little effect on soil erosion" (Ren and Zhu, 1994).



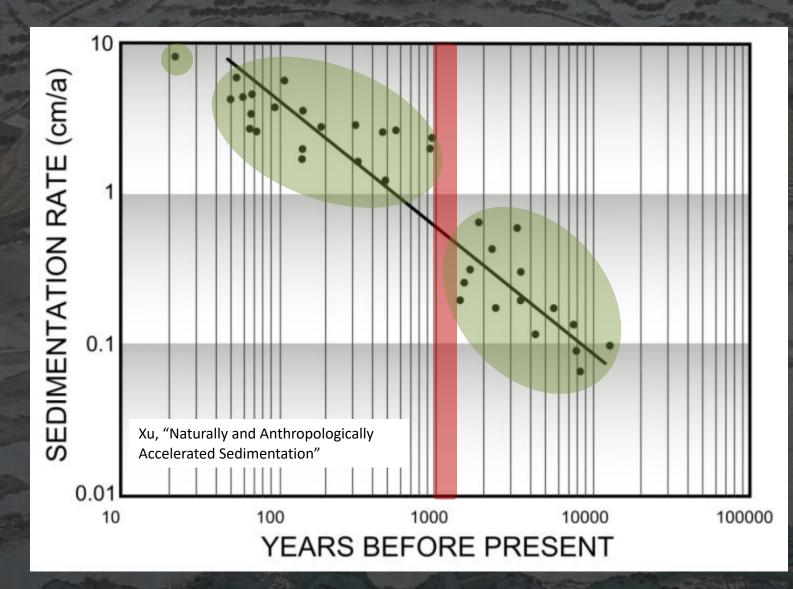
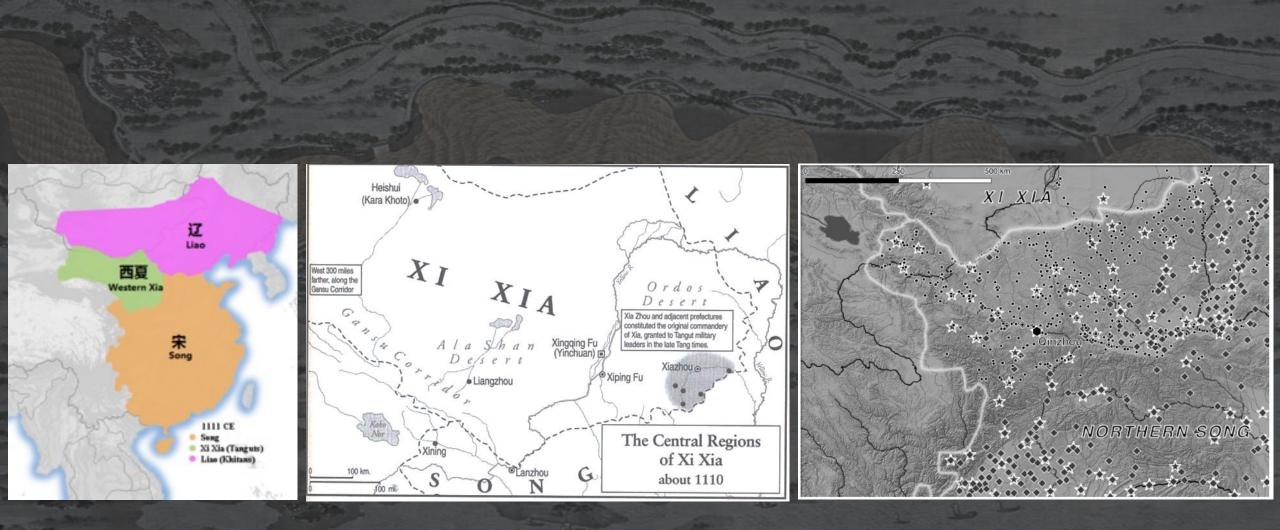


Table 2 – Changes in forest area of the Loess Plateau across time <sup>a</sup>												
	Time											
	West-zhou (1066–771 в.с.)	N-S dynasty (420–589 a.d.)	Tang and Song (618–1275 а.р.)	Ming and Qing (1368–1911 A.D.)	1949	1988	1998					
Area (×10 <sup>6</sup> ha) Coverage (%)	32.0 53	25.0 40	20.0 33	8.0 15	3.7 6.1	4.5 7.2	5.9 9.5					

<sup>a</sup> About the forest cover, it is a contentious issue. Some of historical literatures, e.g., Shi et al. (1985) as cited by this paper, show a vast forest cover on the Loess Plateau during historical periods. And some geological records, such as pollen (Li et al., 2003), indicate that dense forests have never existed in the loess Yuan area (the plain area) since the late Pleistocene. But both historical literatures and geological records proved that vegetation coverage declined in all ages. Here, we use historical records because we think pollen as evidence is relatively sparse.

He Xiubin: intense loess plateau soil erosion transpired in three phases: starting around 7500 BP with the rise of agriculture, around 200 BCE-0 CE during the Western Han, and starting around 1000 years ago in the Northern Song. "Serious accelerated soil erosion has occurred during the last 2,500 years because of man-induced devastation of vegetation and other anthropogenic disturbance of the environment."



The Tangut Xi Xia and the Khitan Liao came to power in the tenth century during an era of Chinese disunity. The Song state, established in 960, came to treaty terms with Liao in 1004 and established diplomatic relations and a clear border. That never happened with Xi Xia; war broke out in 1038 and lasted seven years.

# Song Strategy on the Loess Plateau



- 1041: 34,000 horses and 155,600 people from 670 tribes. 32,580 imperial soldiers in 20 battalions, 900 additional battalions of provincial troops and militias. 1044: 500 imperial battalions, 500,000 troops. 300,000 Xia cavalry. (McGrath, "Frustrated Empires" in Battlefields Real and Imagined)
- A defensive strategy, a massive fort and wall building campaign in 1040, another in 1042 to "fill in the empty spaces." The war ended in a stalemate, cavalry versus forts.



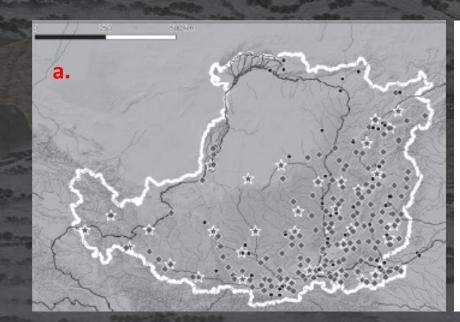
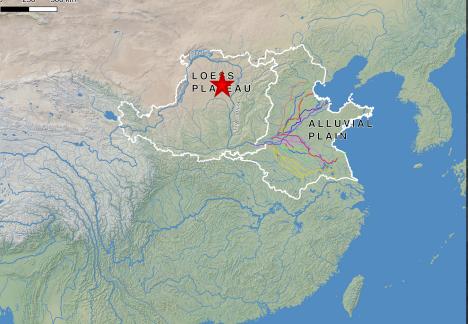
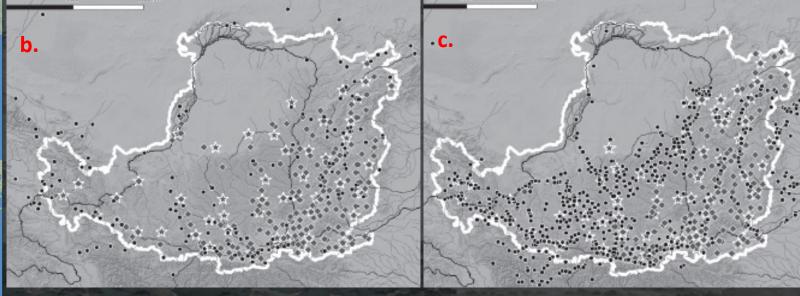


FIGURE 3.6 Loess Plateau Settlement Geography. This series of maps depicts the dramatic expansion of settlement in the Ordos between (a) the middle of the eighth century, (b) the middle of the eleventh century, and (c) the early twelfth century at the conclusion of the fortification campaign on the Xi Xia frontier.







The Song timber industry, Ming Great Wall fortification, and Qing forestry and farming all put additional pressure on Loess plateau landscapes, though Yuan colonization and late Ming droughts and rebellions relieved those pressures.

From Wei Huan 魏焕,, Huang Ming jiu bian kao 皇明九邊考 (1542)

- Middle Course Data: Units below county rank in the Historical Atlas of China (中国历史地图集), units above country rank from the China Historical GIS.
- Floodplain Data: from 62 lists and tables spread across ten Chinese publications such as *The Yellow River Annals* (黄河年 表), georeferenced and coded by event type. 3,754 unique events including 1,645 floods and course changes.



#### Contextual Data in the Tracks of Yu Digital Atlas

Category	Source
China Historical GIS	Historical Counties and Prefectures <sup>a</sup>
Lakes and Open Water	Harvard ChinaMap
Rivers	China Rivers Sorted by Basin <sup>b</sup>
Historic Courses of the Grand Canal	Harvard ChinaMap
Basemap	Ancient World Mapping Center, Natural
	Earth, NASA SRTM <sup>c</sup>
Vegetation	Harvard ChinaMap (2000)
Historical Courses of the Yellow River	Chen Yunzhen <sup>d</sup>
Dynasties and Reigns	Wikipedia
Mountain peaks and passes	Harvard ChinaMap
Ecosystems	World Wildlife Fund
Moisture Index	Monsoon Asia Drought Atlas <sup>e</sup>
Sedimentation Rate	Xu Jiongxin
Historical Census Data	HarvardChinaMap
and allowing me to use it.	." ou to Chen Yunzhen for sharing this data with me gadrought." Thank you to Amy Hessl for prepar-

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	<pre>In [27]: startdate = -132 enddate = -108 chartBum = 'all disasters in 132 to 108 BCE' df = makeHanInfoCoust(startdate, enddate, 'Disasters', 'yr_floodplain') df.fillna(value='', inplace=True) df.to_csv("csv/(chartHum).csv".format(chartHum = chartHum)) df</pre>												
	Out[27]:		vents_id	w_date	events_type	type	events_old_name_o	events_old_name_p	places_o_title	places_en_tite	places_ourrent_loc	places_hz_title	places_p
		0	E0116	-132	決城	Breach	液用机子	Puyang	银子口		河南省安陽市內美懸 中召録		
L		1	E0116	-192	決破	Course change	濮瑞银子	Puyang	强子口		河南省安陽市内英願 中亞鄉		
L		2	E0121	-120	12	Flood	山東						
L		3	E0122	-115	32	Flood	關東、平原、執海、 太山、東郡		鉄海		河北省途州市渝縣舊 州鎮東關村		
L		4	E0122	-115	38	Flood	展東、平原、敦海、 大山、東郡		太山郡		山東曾泰安市		
L		5	E0122	-115	32	Flood	醫束、平原、熱海、 太山、東郡		巨野郡				
L		6	E0122	-115	18	Flood	醫東、平原、勃海、 太山、東郡		平原縣/平原郡		山東省徳州寺平原縣		
L		7	E0122	-115	32	Flood	爾來、平原、執海、 太山、東郡		按鄭州位置				
L		8	E0122	-115	18	Flood	関東、平原、勃海、 大山、東都		東郡		河南釜闌瑞市		
		9	E0122	-115	32	Flood	関東、平原、軌海、 太山、東都		液用板				
		10	E0122	-115	12	Flood	関東、平原、勃海、 大山、東郡		相丘縣				
		11	E0129	-109	決嘆	Breach	IER. R.E. 1851.		信都		河北省復水市廣州市 William		



Shen Yi 沈怡 (1901—1980): A prominent civil engineer, Director of the Yellow River Conservancy Commission in the 1930s, Nanjing mayor in the 1940s, editor of the Yellow River Annals.

#### Box A.1 A Query to the Tracks of Yu Digital Atlas

This generic query combines the events, places, places to events, event types, floodplain geography, and an arbitrary point and buffer. It generates all information about places that were affected by an event of a certain type, between an arbitrary number of years, and within a set distance from a point. Users need simply input the years, distance, and point values of interest into a function call. The final notebook contains more than five hundred such queries and calls, with each individual query capable of being styled and adjusted as needed.

def makeManInfoCount(startdate, enddate, category, area); sal =""" SELECT c.events id, c.w date, c.events type, d.type, c.events old name c, c.events old name p, c.places c title, c.places en tite, c.places current loc, c.places hz title, c.places py title, c.places id, c.geom FROM SELECT a.events id AS events id, a.w date, a.type cAS events type, a.old name cAS events old name c, a.old name pAS events old name p, b.c title AS places c title. b.en tite AS places en tite,

#### Box A.1 (continued)

b.current loc AS places current loc. b.hz title AS places hz title, b.py title AS places py title, b.geom AS geom. a.place id AS places id FROM SELECT events.old name c, events.old name p, places to events.place id. events.type c, events.w date. events.id AS events id FROM events LEFT JOIN places to events ON events.id = places to events.event id WHERE events.id IN ( SELECT events to type.id FROM events to type JOIN event\_types ON event\_types.ch\_title = events to type.type c WHERE event types.en cat = {category} GROUP BY (events to type.id) )a LEFT JOIN ( SELECT places to events.place id. places.c title.

places.en tite. places.current loc. places.hz title, places.py title, places.geom FROM places LEFT JOIN places to events ON places.id = places to events.place id WHERE st intersects( places.geom SELECT ST Union( ST\_Buffer({area}.geom :: geography, 180000):: geometry from {area ) = true ) b ON a.place id = b.place id WHERE a.w date BETWEEN {startdate} AND {enddate} ) c JOIN ( SELECT event types.type, events to type.id AS event id FROM events to type JOIN event types ON event types.ch title = events to type.type c WHERE event types.en cat = {category}

Box A.1 (continued) ) d ON c.events id = d.event id GROUP BY c.events id. c.places c title. c.places en tite, c.places current loc, c.places hz title, c.places py title, c.places id, c.w date. c.events type, c.events old name c, c.events old name p, d.type. c.aeom ORDER BY c.w date ASC=""".format(startdate = startdate, enddate = enddate, category=category, area=area) dfManInfo = pd.read sql query(sql, cnx) return dfManInfo



This generic query combines events, places, places-to-events, event types, floodplain geography, and an arbitrary point and buffer. It generates all information about places that were affected by an event of a certain type, between an arbitrary number of years, and within a set distance from a point. The final Jupyter Notebook contains more than 500 such queries. We have reached the limit of relational database logic and we plan to restructure the data into a **graph database** comprised of nodes, edges and properties prior to releasing it publicly. Ryan M. Horne is my collaborator in this work.

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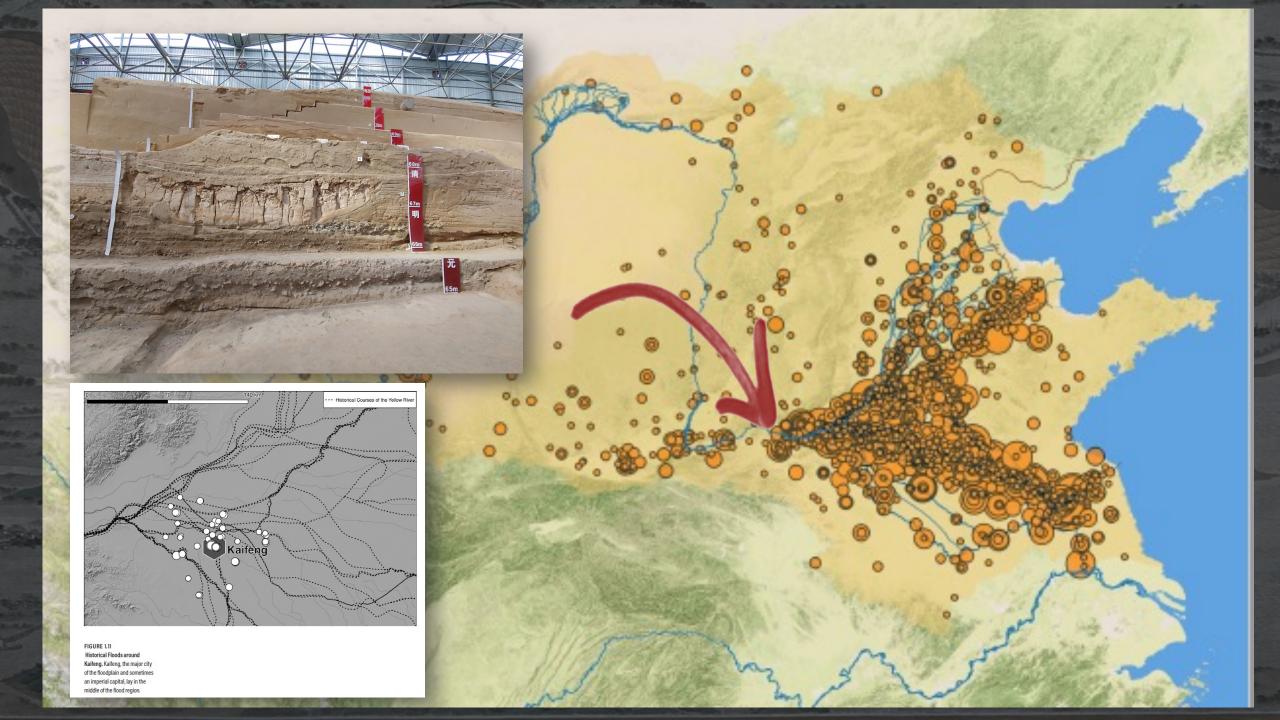
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3	yrdb2	交河	jiao he	he; river	1019;				交河	jiao he	river	ds_1019;	
4	yrdb3	交漳村	jiao zhang cun	cun; village	70156; 80895;				交漳村	jiaozhang cun	village	us_70156; us_808	395;
5	yrdb4	交道厩	jiao dao jiu		20082;				交道廄	jiaodaojiu		us_20082;	
6	yrdb5	交道岭	jiao dao ling		80171;				交道嶺	jiaodao ling	mountain	us_80171;	
7	yrdb6	交道镇	jiao dao zhen		80136;				交道鎮	jiaodao zhen	town	us_80136;	
8	yrdb7	亦杂石营	yi za shi ying		80319;				亦雜石營	yizashi ying	barracks	us_80319;	
9	yrdb8	享堂	xiang tang		80356;				享堂	xiangtang		us_80356;	
10	yrdb9	京	jing		10001;				京	jing		us_10001;	
11	yrdb10	京东	jing dong		1419;				京東	jingdong		ds_1419;	
12	yrdb11	京东埽	jing dong sao		296;				京東埽	jingdong sao		ds_296;	
13	yrdb12	京东西路	jing dong xi lu		1614;				京東西路	jingdongxi lu		ds_1614;	
14	yrdb13	京东诸郡	jing dong zhu jun		1615;				京東諸郡	jingdongzhujun		ds_1615;	
15	yrdb14	京东路	jing dong lu		1613;				京東路	jingdong lu		ds_1613;	
16	yrdb15	京兆	jing zhao		1618;				京兆	jingzhao		ds_1618;	
17	yrdb16	京兆府	jing zhao fu		1424;				京兆府	jingzhao fu		ds_1424;	
18	yrdb17	京安镇	jing an zhen		80796;				京安鎮	jingan zhen		us_80796;	
19	yrdb18	京山县	jing shan xian		1026;				京山縣	jingshan xian		ds_1026;	
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22	yrdb21	京西路	jing xi lu		1617;				京西路	jingxi lu		ds_1617;	
23	yrdb22	京陵城	jing ling cheng		40130;				京陵城	jingling cheng		us_40130;	
24	yrdb23	亭口镇	ting kou zhen		80192;				亭口鎮	tingkou zhen		us_80192;	
25	yrdb24	亳县	bo xian		39;				毫縣	bo xian		ds_39;	
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80522	三岔镇	sancha zhe	zhen	104.2711771	34.84011047	8,28-29	1820	Qing	巩昌府	Gongchang	甘肃	Gansu	
70443	三川寨	sanchuan z	zhai;chuan	106.1230922	36.01456688	7,17-18	1330	Yuan	开成州	Kaicheng Z	陕西行省	Shaanxi Xing	gsheng
70472	三川站	sanchuan z	chuan;zhan	109.2803486	35.76691403	7,17-18	1330	Yuan	延安路	Yan'an Lu	陕西行省	Shaanxi Xing	gsheng
70259	三乡镇	sanxiang zh	zhen	106.631212	34.99330944	7,59-60	1582	Ming	平凉府	Pingliang Fi	陕西	Shaanxi	
80184	三河口	san hekou	hekou	110.1357762	34.61676057	8,26-27	1820	Qing	同州府	Tongzhou F	陕西	Shaanxi	
80740	三泉镇	sanquan zh	quan;zhen	111.6944516	37.1905697	8,20-21	1820	Qing	汾州府	Fenzhou Fu	山西	Shanxi	
81101	三甲集	sanjia ji	ji	103.742217	35.55127334	8,30	1820	Qing	兰州府	Lanzhou Fu	甘肃	Gansu	
80064	三皇峁	sanhuang m	mao	109.9486924	37.58719768	8,26-27	1820	Qing	绥德州	Suide Zhou	陕西	Shaanxi	
80408	三眼井堡	sanyanjing l	jing;bu	103.9053283	37.33795553	8,28-29	1820	Qing	兰州府	Lanzhou Fu	甘肃	Gansu	
70253	三营	san ying	ying	106.1500712	36.1278177	7,59-60	1582	Ming	平凉府	Pingliang Fi	陕西	Shaanxi	
80483	三营	san ying	ying	106.1922836	36.25809133	8,28-29	1820	Qing	平凉府	Pingliang Fi	甘肃	Gansu	
80396	三角城	sanjiao che	cheng	104.6603468	36.64759329	8,28-29	1820	Qing	兰州府	Lanzhou Fu	甘肃	Gansu	
80062	三道	sandao		109.110895	37.57433048	8,26-27	1820	Qing	榆林府	Yulin Fu	陕西	Shaanxi	
81027	三道河	sandao he	he	107.0957879	40.27149259	8,57-58	1820	Qing	厄鲁特旗	Elute Qi	内蒙古	Inner Mongo	lia
80310	上五庄	shangwu zł	zhuang	101.2244552	36.83821485	8,28-29	1820	Qing	西宁府	Xining Fu	甘肃	Gansu	
80504	上关	shang guan	guan	106.7887899	35.12556355	8,28-29	1820	Qing	平凉府	Pingliang Fi	甘肃	Gansu	
20115	上虒亭	shangsi ting	ting	112.778216	36.57934571	2,17-18		Western Han	上党郡	Shangdang	并州刺史部	Bingzhou Ci	shi Bu
80353	上川口司	shangchuar	si1	102.7138335	36.30430551	8,28-29	1820	Qing	西宁府	Xining Fu	甘肃	Gansu	







Flood Count 50 Year Moisture Variance Mean

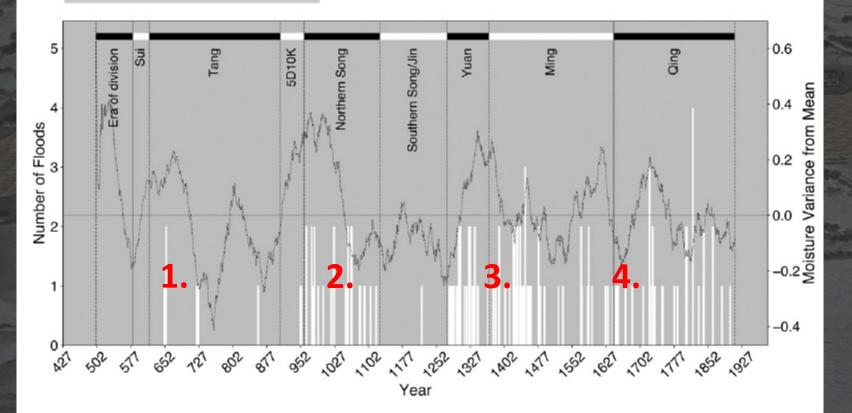
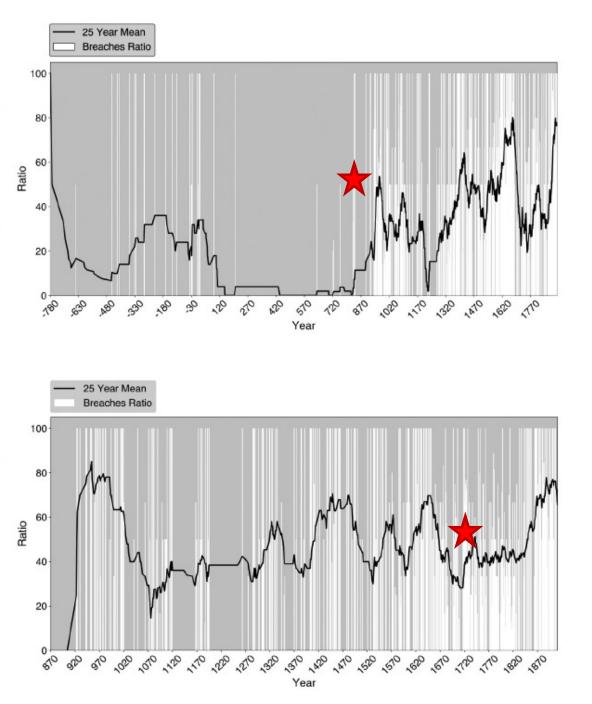


FIGURE 1.12

A Timeline of Historical Floods around Kaifeng. Around Kaifeng, as everywhere else on the floodplain, the turn of the first millennium of the Common Era marked the beginning of the disaster era.

FIGURE I.2 Breaches as a Percentage of Disasters. Until the tenth century, when levee building began in earnest, there were few disasters on the floodplain, and few of those that did occur were recorded as levee breaches. From the tenth century onward, historical sources report disasters on a near-annual basis, and half or more of all reported disasters were breaches. Figure (a) depicts attested levee breaches on the Yellow River as a percentage of all recorded disasters. Waterworks management was rare until the ninth century, and it increased to near-annual frequency only in the seventeenth century. Figure (b) depicts repairs as a percentage of all recorded events of waterworks management.





20 Year Mean of Management Events

- 20 Year Mean of Disasters
- ····· 20 Year Moisture Variance Mean

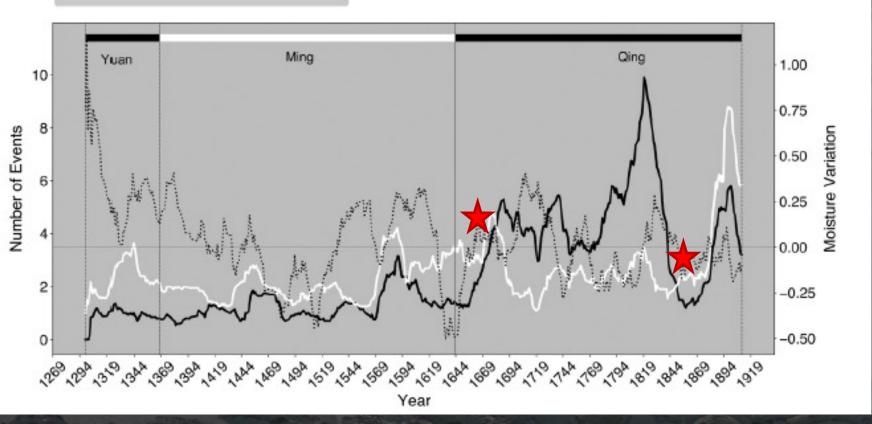
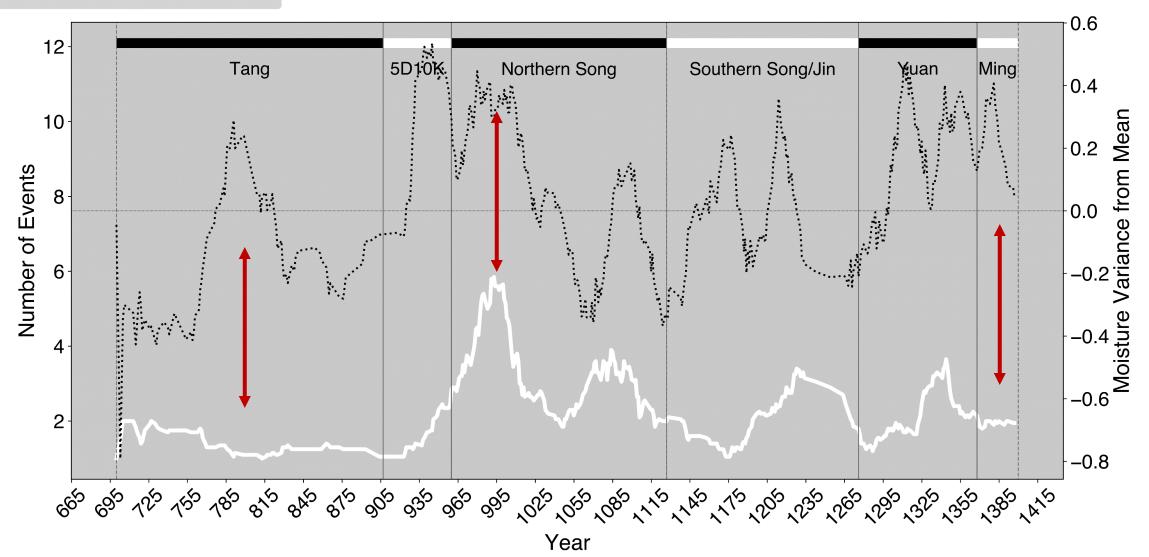


FIGURE 4.2 Events and Moisture Levels, 1300 to 1911. Until the seventeenth century, the rate of attested management events and the rate of reported disaster events tracked fairly closely to one another, with the number of management events consistently below the number of disaster events. That relationship changed dramatically in the late seventeenth century, the beginning of more than a century of vigorous infrastructure development that successfully suppressed flood rates. The moisture timeline and the event timeline are not related during these centuries.

## 20 Year Disaster Mean

20 Year Moisture Variance Mean



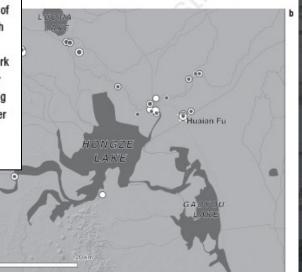
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FIGURE 4.17 Hongze Lake Terrain and Events, 1368-1911. Management and disaster events varied in intensity along the Yellow River north of Hongze Lake between (a) 1368 and 1468, (b) 1468 and 1568, (c) 1568 and 1668, (d) 1668 and 1768, (e) 1768 and 1868, and (f) the end of the Hongze Lake system between 1868 and 1911. The river runs just to the north of Hongze Lake. The Grand Canal skirts the eastern edge of Gaoyou Lake, passing through Huaian before entering the Qingkou infrastructure network at the northeastern perimeter of the lake and then paralleling the Yellow River. The Huai River enters Hongze Lake from the southwest.

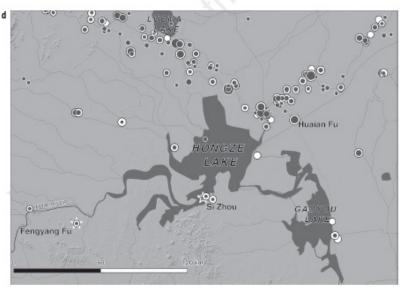
Fengyang Fu

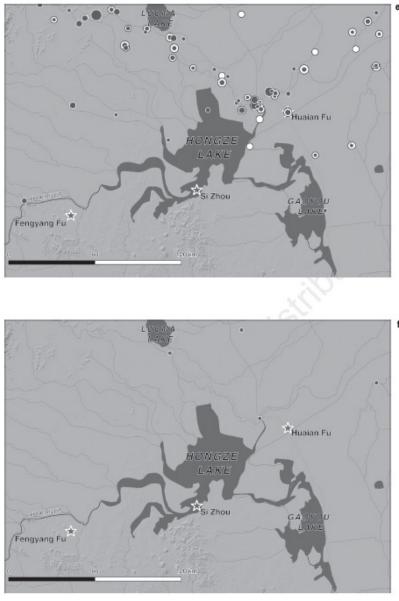


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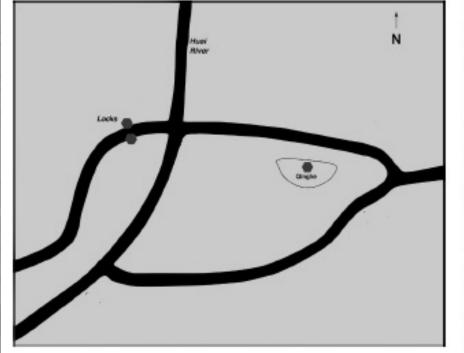
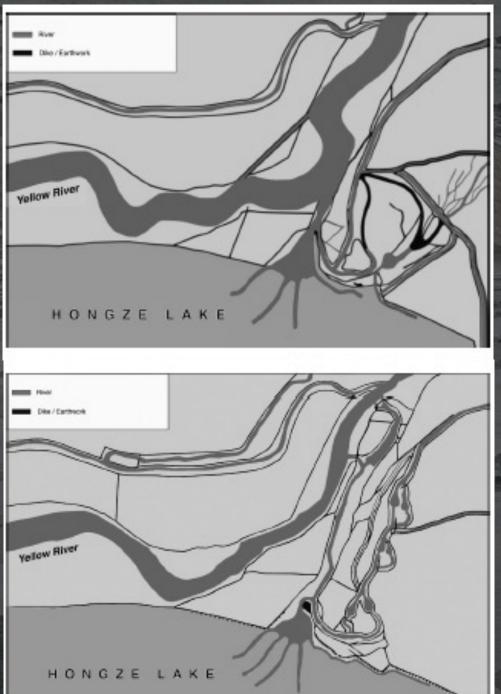




FIGURE 4.18 The Evolution of Hydrological Architecture around the Qingkou Confluence. The major features of the confluence of Hongze Lake, the Yellow River, and the Grand Canal were the Qingkou passage, Gaojiayan Dam, and various watercourses. Although construction of the Qingkou system began in the Ming era, it did not reach full buildout until the eighteenth century. These images depict the Qingkou confluence at (a) prior to the fourteenth century, (b) 1776, and (c) 1854. Based on Zhang et al., "Qingkou Complex.,"



25 Year Ratio of Repairs
 25 Year Ratio of New Construction

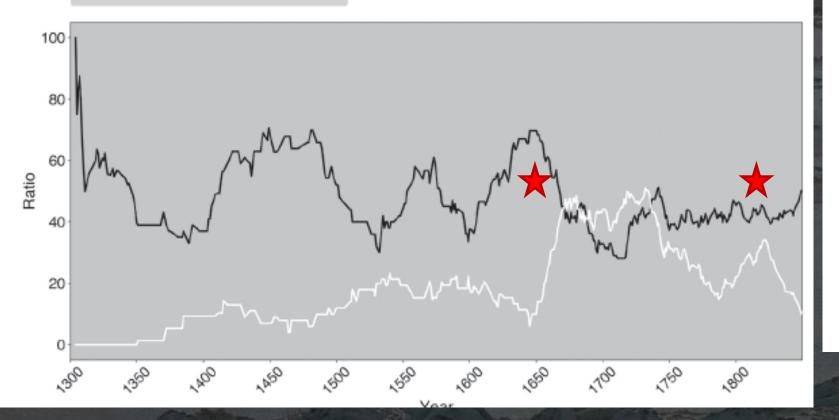
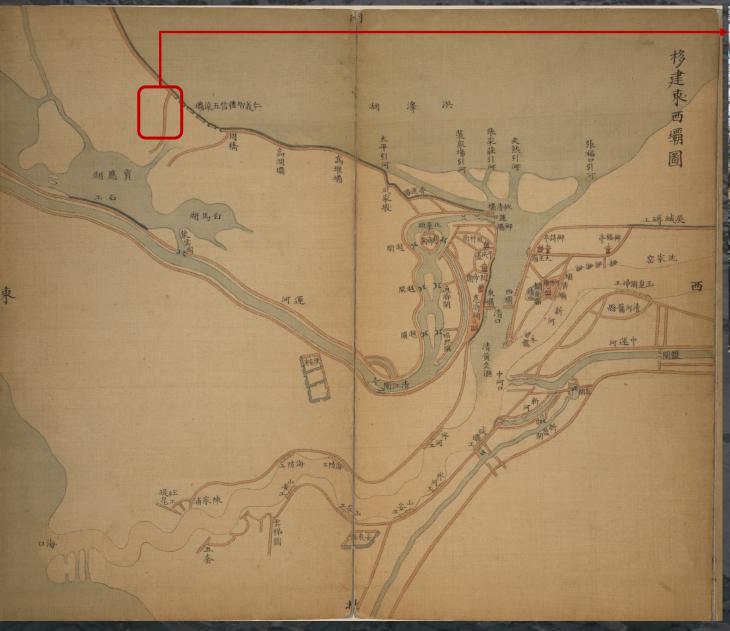
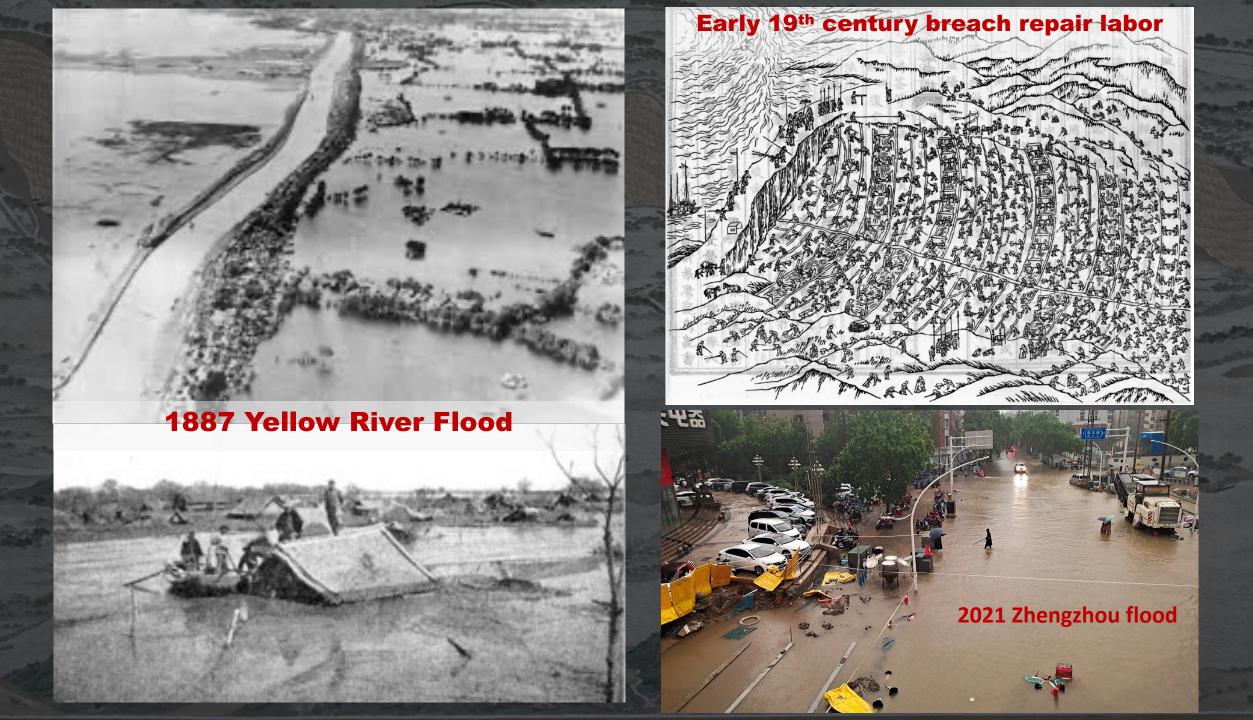


FIGURE 4.22 Ratio of Repairs to New Construction, 1300–1850. Watershed management not only changed in magnitude during the final centuries of river management; the characteristic activities evolved as well. During its first century in power, from the mid-seventeenth century to the mid-eighteenth century, the Qing regime promoted new construction more intensively than repairs to existing structures.





- Gilbert F. White (1942): "floods are 'acts of God,' but flood losses are largely acts of man."
- Intensive management of the imperial floodplain only began in the eighteenth century, and it ended in the middle of the nineteenth century.



Thank you for your time!

Thank you to my collaborators and assistants, especially Ryan Horne, Kaiqi Hua, Shen Zhifeng, and Shaobai Xiong